



UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 1
10046327-001102
RECEIVED
APR 29 2002

PERMAN & GREEN LLP

UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/046,327	10/26/2001	Yves Stricot	680-010627-US(PAR)

2512 CAG
PERMAN & GREEN
425 POST ROAD
FAIRFIELD, CT 06430US AUCTION
DUE DATE 6-16-02
PAPER DATED 4-16-02
OA FINAL
MSG PT DWG
APPEAL ISSUE FEE
OTHER

CONFIRMATION NO. 2710

FORMALITIES LETTER



OC000000007874436

Date Mailed: 04/16/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- The balance due by applicant is \$ 260.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

06/14/2002 BSAYAS11 00000089 10046327

01 FC:105	130.00 DP
02 FC:139	130.00 DP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART I - ATTORNEY/APPLICANT COPY

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
680-010627-US(PAR) / C2717

In Re Application Of: STRICO et al.

JUN 11 2002

Serial No.
10/046,327

Filing Date
10/26/01

Examiner

Group Art Unit

Invention:

OPTOELECTRONIC EMITTER-RECEIVER DEVICE

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts


This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
4/16/02
Date

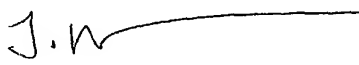

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED)
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☒ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Preliminary Amendment based on the Verified English translation document which includes a substitute specification in compliance with Section 1.121 and 1.125(b) and an amended set of claims.

Substitute Specification 15pages

Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Large Entity)		Docket No. 680-010627-US(PAR) / C2717																														
In Re Application Of: STRICOT et al. <div style="text-align: center;">  </div>																																
Serial No. 10/046,327	Filing Date 10/26/01	Examiner	Group Art Unit																													
Invention: <div style="text-align: center; margin-top: 10px;"> OPTOELECTRONIC EMITTER-RECEIVER DEVICE </div>																																
<div style="text-align: center;"> <u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u> <u>Box Missing Parts</u> </div> <p><input checked="" type="checkbox"/> Completion of application fees as calculated below:</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 80%;"><input type="checkbox"/> Utility application filing fee</td> <td style="width: 20%; text-align: right;">_____</td> </tr> <tr> <td><input type="checkbox"/> Design application filing fee</td> <td style="text-align: right;">_____</td> </tr> <tr> <td><input type="checkbox"/> Total number of independent claims = _____</td> <td style="text-align: right;">_____</td> </tr> <tr> <td><input type="checkbox"/> Total number of claims = _____</td> <td style="text-align: right;">_____</td> </tr> <tr> <td><input type="checkbox"/> Multiple dependent claims</td> <td style="text-align: right;">_____</td> </tr> <tr> <td><input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath</td> <td style="text-align: right;">\$130.00</td> </tr> <tr> <td><input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor</td> <td style="text-align: right;">_____</td> </tr> <tr> <td><input checked="" type="checkbox"/> Fee for processing an application filed with a non-English language specification</td> <td style="text-align: right;">\$130.00</td> </tr> <tr> <td><input type="checkbox"/> Fee for processing and retention of application</td> <td style="text-align: right;">_____</td> </tr> <tr> <td style="text-align: right; padding-top: 10px;">Total completion of application fees</td> <td style="text-align: right; padding-top: 10px;">\$260.00</td> </tr> </table> <p>This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 25%;"><input type="checkbox"/> One month</td> <td style="width: 25%;"><input type="checkbox"/> Two months</td> <td style="width: 25%;"><input type="checkbox"/> Three months</td> <td style="width: 25%;"><input type="checkbox"/> Four months</td> <td style="width: 25%;"><input type="checkbox"/> Five months</td> </tr> </table> <p>from: _____ until: _____</p> <p style="text-align: center; margin-left: 150px;">Date Date</p> <table style="width: 100%; border: none; margin-top: 10px;"> <tr> <td style="width: 70%; text-align: right;">Total time extension fees</td> <td style="width: 30%; text-align: right;">_____</td> </tr> <tr> <td style="text-align: right;">Total fees due</td> <td style="text-align: right;">\$260.00</td> </tr> </table>				<input type="checkbox"/> Utility application filing fee	_____	<input type="checkbox"/> Design application filing fee	_____	<input type="checkbox"/> Total number of independent claims = _____	_____	<input type="checkbox"/> Total number of claims = _____	_____	<input type="checkbox"/> Multiple dependent claims	_____	<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	\$130.00	<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor	_____	<input checked="" type="checkbox"/> Fee for processing an application filed with a non-English language specification	\$130.00	<input type="checkbox"/> Fee for processing and retention of application	_____	Total completion of application fees	\$260.00	<input type="checkbox"/> One month	<input type="checkbox"/> Two months	<input type="checkbox"/> Three months	<input type="checkbox"/> Four months	<input type="checkbox"/> Five months	Total time extension fees	_____	Total fees due	\$260.00
<input type="checkbox"/> Utility application filing fee	_____																															
<input type="checkbox"/> Design application filing fee	_____																															
<input type="checkbox"/> Total number of independent claims = _____	_____																															
<input type="checkbox"/> Total number of claims = _____	_____																															
<input type="checkbox"/> Multiple dependent claims	_____																															
<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	\$130.00																															
<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor	_____																															
<input checked="" type="checkbox"/> Fee for processing an application filed with a non-English language specification	\$130.00																															
<input type="checkbox"/> Fee for processing and retention of application	_____																															
Total completion of application fees	\$260.00																															
<input type="checkbox"/> One month	<input type="checkbox"/> Two months	<input type="checkbox"/> Three months	<input type="checkbox"/> Four months	<input type="checkbox"/> Five months																												
Total time extension fees	_____																															
Total fees due	\$260.00																															

Response To Notice To File Missing Parts Of Application Filing Date: 10/26/01 (PTO-1533) (Large Entity)		Docket No. 680-010627-US(PAR) / C2717	
In Re Application Of: STRICOT et al. <div style="text-align: center;">JUN 11 2002</div>			
Serial No. 10/046,327	Filing Date 10/26/01	Examiner	Group Art Unit
Invention: <div style="text-align: center; margin-top: 10px;"> OPTOELECTRONIC EMITTER-RECEIVER DEVICE </div>			
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u> <u>Box Missing Parts</u>			
The fee of \$260.00 is to be paid as follows: <input checked="" type="checkbox"/> A check in the amount of the fee is enclosed. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 16-1350 A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 16-1350 A duplicate copy of this sheet is enclosed.			
<div style="text-align: center; margin-top: 20px;">  _____ <i>Signature</i> </div>		Dated: May 31, 2002	
Janik Marcovici Reg. No.: 42,841 PERMAN & GREEN, LLP (203) 259-1800 Customer No.: 2512		<div style="border: 1px solid black; padding: 5px;"> I certify that this document and fee is being deposited on May 31, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. </div> <div style="text-align: center; margin-top: 10px;">  <i>Signature of Person Mailing Correspondence</i> </div> <div style="text-align: center; margin-top: 10px;"> Deborah J. Clark <i>Typed or Printed Name of Person Mailing Correspondence</i> </div>	
CC:			